



4-28-04

09/012,362

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Patent No.: 5,980,071

Attorney Docket No.: 04046/0201139-US0

Issued Date: November 9, 1999

Certificate of Express Mailing Under 37 CFR 1.10

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OFFICE OF PETITIONS

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Typed or printed name of person signing Certificate

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Transmittal Form (1 page)

Fee Transmittal (1 page)

- A- Petition Under 37 C.F.R. 1.324 to Correct Inventorship of an Issued Patent (2 pages)
- B- Statement of Lee Schaak Under 37 C.F.R. 1.324(b)(1) (2 pages)
- C- Statement of Duan-Cheng Hsieh Under 37 C.F.R. 1.324(B)(1) (2 pages)
- D- Consent of Assignee for the Correction of Inventorship (1 page)
- E- Statement Under 37 CFR(b) (1 page)
- F- Copy of Recordation Cover Sheet and Assignment (Schaak to Adesso, Inc.), Copy of Recordation Cover Sheet and Assignment (Hsieh to Adesso, Inc.), and Copy of Recordation Cover Sheet and Assignment (Habitek Corporation to Adesso, Inc.) (9 pages)
- G- Declaration and Power of Attorney (4 pages)
- H- Change of Correspondence Address (1 page)

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PTO/SB/21 (08-03)

Approved for use through 07/31/2006. OMB 0651-0031

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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TRANSMITTAL FORM (to be used for all correspondence after initial filing)	Patent Number	5,980,071	
	Issued Date	November 9, 1999	
	First Named Inventor	Duan-Cheng Hsieh	
	Art Unit	2875	
	Examiner Name	Laura K. Tso	
Total Number of Pages in This Submission	25	Attorney Docket Number	04046/0201139-USO

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ENCLOSURES (Check all that apply)

<input checked="" type="checkbox"/> Fee Transmittal Form <input type="checkbox"/> Fee Attached <input type="checkbox"/> Amendment/Reply <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s) <input type="checkbox"/> Extension of Time Request <input type="checkbox"/> Express Abandonment Request <input type="checkbox"/> Information Disclosure Statement <input type="checkbox"/> Certified Copy of Priority Document(s) <input type="checkbox"/> Response to Missing Parts/ Incomplete Application <input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53	<input type="checkbox"/> Drawing(s) <input type="checkbox"/> Licensing-related Papers <input checked="" type="checkbox"/> Petition <input type="checkbox"/> Petition to Convert to a Provisional Application <input checked="" type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Request for Refund <input type="checkbox"/> CD, Number of CD(s) _____	<input type="checkbox"/> After Allowance Communication to Group <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input checked="" type="checkbox"/> Other Enclosure(s) (please identify below): A-Petition to Correct Inventorship of an Issued Patent (2 pages) B-Statement of Lee Schaak(2 pages) C-Statement of Duan-Cheng Hsieh (2 pages) D-Consent of Assignee for the Correction of Inventorship (1 page) E-Statement Under 37 C.F.R. 3.73(b) (1 page) F- Recordation Cover Sheet and Assignment for Schaak to Adesso, Inc., Hsieh to Adesso, Inc., and Habitex Corporation to Adesso, Inc. (9 pages) G-Declaration and Power of Attorney(4 pages) H-Change of Correspondence Address (1 page)
Remarks		

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm or Individual name	DARBY & DARBY P.C. Alphonso A. Collins - 43,559
Signature	
Date	April 26, 2004



U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Effective 10/01/2003. Patent fees are subject to annual revision.

☐ Applicant claims small entity status. See 37 CFR 1.27

TOTAL AMOUNT OF PAYMENT	(\$)	130.00
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Patent Number	5,980,071
Issued Date	November 9, 1999
First Named Inventor	Duan-Cheng Hsieh
Examiner Name	Laura K. Tso
Art Unit	2875
Attorney Docket No.	04046/0201139-USO

FEE CALCULATION (continued)

Darby & Darby P.C.

The Director is authorized to: *(check all that apply)*

☐ Charge fee(s) indicated below, **except for the filing fee** to the above-identified deposit account.

FEE CALCULATION

1. BASIC FILING FEE

Large Entity		Small Entity		Fee Description	Fee Paid
Fee Code	Fee (\$)	Fee Code	Fee (\$)		
1001	770	2001	385	Utility filing fee	
1002	340	2002	170	Design filing fee	
1003	530	2003	265	Plant filing fee	
1004	770	2004	385	Reissue filing fee	
1005	160	2005	80	Provisional filing fee	

SUBTOTAL (1)	(\$)
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2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE

	Extra Claims	Fee from below	Fee Paid
Total Claims <input type="text"/> -20** =	<input type="text"/> x	<input type="text"/>	= <input type="text"/>
Independent Claims <input type="text"/> -3** =	<input type="text"/> x	<input type="text"/>	= <input type="text"/>
Multiple Dependent <input type="text"/>		<input type="text"/>	= <input type="text"/>

Large Entity		Small Entity		Fee Description
Fee Code	Fee (\$)	Fee Code	Fee (\$)	
1202	18	2202	9	Claims in excess of 20
1201	86	2201	43	Independent claims in excess of 3
1203	290	2203	145	Multiple dependent claim, if not paid
1204	86	2204	43	** Reissue independent claims over original patent
1205	18	2205	9	** Reissue claims in excess of 20 and over original patent

SUBTOTAL (2)	(\$)	0.00
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****or number previously paid, if greater; For Reissues, see above**

3. ADDITIONAL FEES

Large Entity	Small Entity
<p>1. Revenue Recognition</p> <p>Large entities use the percentage of completion method for long-term contracts, recognizing revenue as work progresses. Small entities often use the cost of sales method, recognizing revenue only when the contract is completed.</p>	<p>1. Revenue Recognition</p> <p>Small entities typically use the cost of sales method for long-term contracts, recognizing revenue only when the contract is completed.</p>
<p>2. Inventory Valuation</p> <p>Large entities use the FIFO (First In, First Out) method for inventory valuation. Small entities may use the LIFO (Last In, First Out) method, which can provide a tax advantage in periods of rising prices.</p>	<p>2. Inventory Valuation</p> <p>Small entities may use the LIFO (Last In, First Out) method for inventory valuation, which can provide a tax advantage in periods of rising prices.</p>
<p>3. Depreciation</p> <p>Large entities use the MACRS (Modified Accelerated Cost Recovery System) for depreciation. Small entities may use the straight-line method for depreciation.</p>	<p>3. Depreciation</p> <p>Small entities may use the straight-line method for depreciation.</p>
<p>4. Lease Accounting</p> <p>Large entities use the ASC 842 (Leases) for lease accounting. Small entities may use the ASC 840 (Leases) for lease accounting.</p>	<p>4. Lease Accounting</p> <p>Small entities may use the ASC 840 (Leases) for lease accounting.</p>
<p>5. Goodwill Impairment</p> <p>Large entities use the ASC 350 (Intangible Assets) for goodwill impairment testing. Small entities may use the ASC 350 (Intangible Assets) for goodwill impairment testing.</p>	<p>5. Goodwill Impairment</p> <p>Small entities may use the ASC 350 (Intangible Assets) for goodwill impairment testing.</p>

Fee Code	Fee (\$)	Fee Code	Fee (\$)	Fee Description	Fee Paid
1051	130	2051	65	Surcharge – late filing fee or oath	
1052	50	2052	25	Surcharge – late provisional filing fee or cover sheet.	
1053	130	1053	130	Non-English specification	
1812	2,520	1812	2,520	For filing a request for <i>ex parte</i> reexamination	
1804	920*	1804	920*	Requesting publication of SIR prior to Examiner action	
1805	1,840*	1805	1,840*	Requesting publication of SIR after Examiner action	
1251	110	2251	55	Extension for reply within first month	
1252	420	2252	210	Extension for reply within second month	
1253	950	2253	475	Extension for reply within third month	
1254	1,480	2254	740	Extension for reply within fourth month	
1255	2,010	2255	1,005	Extension for reply within fifth month	
1401	330	2401	165	Notice of Appeal	
1402	330	2402	165	Filing a brief in support of an appeal	
1403	290	2403	145	Request for oral hearing	
1451	1,510	1451	1,510	Petition to institute a public use proceeding	
1452	110	2452	55	Petition to revive – unavoidable	
1453	1,330	2453	665	Petition to revive - unintentional	
1501	1,330	2501	665	Utility issue fee (or reissue)	
1502	480	2502	240	Design issue fee	
1503	640	2503	320	Plant issue fee	
1460	130	1460	130	Petitions to the Commissioner	
1807	50	1807	50	Processing fee under 37 CFR 1.17(q)	
1806	180	1806	180	Submission of Information Disclosure Stmt	
8021	40	8021	40	Recording each patent assignment per property (times number of properties)	
1809	770	2809	385	Filing a submission after final rejection (37 CFR 1.129(a))	
1810	770	2810	385	For each additional invention to be examined (37CFR 1.129(b))	
1801	770	2801	385	Request for Continued Examination (RCE)	
1802	900	1802	900	Request for expedited examination of a design application	
Other fee (specify)				Petition to Correct Inventorship	130.00

Other fee (specify)	Petition to Correct Inventorship	130.00
*Reduced by Basic Filing Fee Paid	SUBTOTAL (3)	(\$) 130.00

SUBMITTED BY

(Complete (if applicable))

Name (Print/Type)	Alphonso A. Collins
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Registration No. (Attorney/Agent)	43,559
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Telephone	212.836.3726
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Signature

Date	April 26, 2004
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